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PTO/SB/21 (08-00)

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TRANSMITTAL FORM
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Total Number of Pages in This Submission

Application, No. 10/041,071

Filing Date December 28, 2001

First Named Inventor Andrew F. Glew

Group Art Unit 2185

Examiner Name Unassigned

Attorney Docket Number 42390P13769

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Total Number of Pa	ages in This Submissi	on	1/	Attorney Docket Numbe	er   423	390P13769 NOV Z 1 Z
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Fee Transmittal F	Form		Assignment (for an Appl	Papers ication)		After Allowance Communication to Group
Fee Attach	ned		Drawing(s)			Appeal Communication to Board of Appeals and Interferences
Amendment / Res	sponse		Licensing-re	elated Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final Affidavits/o	declaration(s)		Petition			Proprietary Information
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Certified Copy of Document(s)	Certified Copy of Priority Document(s)		Request for	Refund		References
Response to Missing Parts/ Incomplete Application			CD, Numbe	r of CD(s)		
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	SIGNATUR	E OF	APPLICAN	T, ATTORNEY, OR A	GENT	Т
Firm or	William W. Sch	naal, I	Reg. No. 3	39,018		
Individual name	BLAKELY, S	oke	ŁOFF, I	AYLOR & ZAFN	ИAN	LLP
Signature	Sh	<u>-</u>	W			
Date November 15, 2002						
	CERTIF	ICATE	OF MAIL	ING/TRANSMISSION		
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PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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EEE TO ANOMITTAL	C	Complete if Known	
FEE TRANSMITTAL	Application Number	10/041,071	
for FY 2003	Filing Date	December 28, 2001	
Patent fees are subject to annual revision.	First Named Inventor	Andrew F. Glew	
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned	
	Group/Art Unit	2185	
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Name (Print/Type)	William W. Schael	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature	MINI			Date	11/15/02

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Docket No.: 42390P13769

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/041,071

Filed: December 28, 2001

For: Authenticated Code Method and

**Apparatus** 

Art Group: 2185

Examiner: Unassigned

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Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 15, 2002

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 15, 2002

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Date

11-15-02

Substitu	ite for F	orm 1449A/PT	O (Modified	i)		Comple	te if Known			
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		4,571,672		Hatada, et al.		02/	18/1986			
		5,953,502	Α	Helbig, Sr.		09/	14/1999			
		6,101,584		Satou, et al	· · · · · · · · · · · · · · · · · · ·	08/	08/2000			
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Number Code <sup>2</sup>	Kind (If known)		MM-DD-YYYY	Passages or Relevant Figures Appear
4,571,672		Hatada, et al.	02/18/1986	
5,953,502	Α	Helbig, Sr.	09/14/1999	
6,101,584		Satou, et al	08/08/2000	
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			EP 1 030 237 A		Hewlett Packard	08/23/2000		
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 10/041,071

Filing Date December 28, 2001

First Named Inventor: Andrew F. Glew

Group Art Unit 2185

Examiner Name Unassigned

Sheet	2	of	2	Attorney Docket Number	042390P13769				
		отн	ER ART - NO P	ATENT LITERATURE DOCUMEN	TS				
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							
			Heinrich, J., "MIPS R4000 Microprocessor User's Manual," 1994, MIPS Technology, Inc., Mountain View, CA, pp. 67-79.						
		Heinrich, J. "M XP002184449		oprocessor User's Manual," April 1,	1993, MIPS, Mt. View,				
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.